

3 Amount and Source of Funds

For rollovers to plans other than an IRA, please provide the name of the employer from which the transfer/rollover funds originated. You may photocopy this page for multiple transfers from different employers.

Employer

Are you still employed at the employer named above? Yes No

Please indicate the amount that you are transferring/rolling over to TIAA-CREF:

 100% of the amount in my account(s) OR \$.

What type of funds are you transferring/rolling over?

If you do not know the type of funds that are being transferred/rolled over, contact your current carrier or fund provider.

401(a) 401(k) 403(b) 403(b) (7) 414(h) 457(b) Private* 457(b) Public
 Brokerage Account Certificates of Deposit Keogh Roth IRA** SIMPLE IRA*** Traditional IRA

Were any of the funds in this transfer/rollover transaction invested prior to 1989? Yes No

**Funds in 457(b) private plans can only be transferred to other 457(b) private plans that accept the transfer.*

***A Roth IRA can only be rolled over to another Roth IRA.*

****SIMPLE IRA must have been established for at least two years. If prior to the 2-year holding period, and is otherwise an early distribution, the additional tax imposed by the IRS (because of the early distribution) is increased from 10% to 25% of the amount distributed.*

4 Liquidation and Transfer Instructions

Check the terms of your current investment. Certain surrender charges may apply. Please check the appropriate box(es) to authorize the liquidation of other types of investments you may have.

CERTIFICATES OF DEPOSIT

Account Number

Maturity Date (mm/dd/yyyy)

Please liquidate the CD **IMMEDIATELY**. I am aware of and acknowledge the penalty I will incur from any early withdrawal.

Please liquidate the CD **AT MATURITY**.
(Please submit this request no earlier than 30 days before the maturity date. TIAA-CREF is not liable if your CD term is renewed or if penalty fees are incurred as a result of transferring/rolling over funds from a CD.)

PLEASE CONTINUE →



7 Allocate Your Funds

Please use my current allocation on file for the plan/contract indicated.

OR

Please use the allocation indicated below.

Enter the name of the account(s) and the amount you would like allocated to each account.*** If you need additional space for your allocation choices, please provide them on a separate page with your name and Social Security number. Please use whole percentages and make sure your allocation equals 100 percent. If you are unsure of the accounts available to you, please call our Telephone Counseling Center at **800 842-2776**, Monday to Friday from 8 a.m. to 10 p.m., (ET) and Saturday from 9 a.m. to 6 p.m., (ET).

Account Name	Allocation Percent
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The TIAA Real Estate Account is not available in California.

**** For RA and GRA contracts: Payments and transfers from the TIAA Traditional annuity account are made in 10 substantially equal annual installments.*

For RC contracts: Payments and transfers from the TIAA Traditional annuity account are made in 84 substantially equal monthly installments.



8 Authorization, Rollover Waiver and Your Signature

If you are over age 70½, you may need to begin distributions on this amount during this calendar year. Please contact us at **800 842-2776**.

I authorize the current carrier or fund provider listed in Section 2 to transfer/roll over the assets from my account(s) as stated in Section 3 and/or 4 for immediate deposit into my funds listed in Section 7. If necessary for the purpose of this transfer/rollover, I also authorize the current carrier or fund provider to liquidate immediately, and transfer the proceeds in the form of a check to my funds listed in Section 7, any mutual fund shares, company stocks, bonds, or other financial investments held in the account(s) listed in Section 3 and/or 4. The current carrier or fund provider may release information pertaining to the contributions and earnings attributable to the transfer/rollover amount, as requested by TIAA-CREF. I also authorize TIAA-CREF to contact my current carrier or fund provider on my behalf to arrange the transfer/rollover of these funds. I understand that if I do not name an employer in Section 6, my funds will be applied to the last-known premium-remitting institution that accepts rollover funds into their plan and will be subject to the rules of that plan.

I understand that if I roll over a distribution from another plan into a TIAA-CREF Retirement Annuity, Group Retirement Annuity, Retirement Choice, Retirement Choice Plus, Retirement Select, Retirement Select Plus, Supplemental Retirement Annuity or Group Supplemental Retirement Annuity contract, my right to receive a distribution of these funds prior to or following my termination of employment from the employer sponsoring the plan that is accepting the rollover, will depend on the terms of that plan and the TIAA-CREF account to which the funds are being rolled over.

I further understand that if I roll over a distribution from another plan into a TIAA-CREF Retirement Annuity, Group Retirement Annuity, Retirement Choice, Retirement Choice Plus, Retirement Select, Retirement Select Plus, Supplemental Retirement Annuity, or Group Supplemental Retirement Annuity contract, to the extent the plan accepting the rollover is subject to the Employee Retirement Income Security Act (ERISA), spousal rights will apply to the funds and I may need a signed waiver from my spouse in order to receive a subsequent distribution of these funds to the extent the distribution is permitted by the new plan and receiving contract account.

I have read and understand the above conditions and I request that TIAA-CREF accept a rollover of funds to my TIAA-CREF account under a qualified plan. By signing below, I agree to be bound by these conditions.

Your Signature (REQUIRED)

Date (mm/dd/yyyy)

 / /

9 Medallion Signature Guarantee (if Applicable)

Some carriers or fund providers may require a medallion signature guarantee. If your current carrier or fund provider does, please complete the following section and have it medallion-stamped by an **authorized bank**. A notary public is not an acceptable medallion signature guarantee.

Medallion Signature Guarantee

Signature of Guarantor

Name of Institution

Title

Date

 / /

Authorized Officer to Place Medallion Stamp Above



10 Mail Your Transfer or Rollover Authorization

When we receive your form, we'll send you a letter of acknowledgement and contact your current carrier or fund provider.

Mail this form in the envelope provided to:

TIAA-CREF
Imaging Services
P.O. Box 1260
Charlotte, NC 28201-9911

If you are mailing a check to TIAA-CREF and intend to send it via overnight mail, please use the following address:

TIAA-CREF
ATTN: Imaging Services
8500 Andrew Carnegie Boulevard
Charlotte, NC 28262

